Exp# 794123570 US

IAP6 Rec'd PCLI Paten and rate that Officer 9: DEPARTMENT OF COMMERCE

#### Under the Paperwork Reduction Act of 1995, no persons and to a collection of information unless it displays a valid OMB control number. ATTORNEY'S DOCKET NUMBER TRANSMITTAL LETTER TO THE UNITED STATES 2003CH01 DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371 INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE PCT/IB2004/003959 02 December 2004 10 December 2003 TITLE OF INVENTION Disperse Dyes APPLICANT(S) FOR DO/EO/US Robert EGLI Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). $|\mathbf{X}|$ A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto.

An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 20 below concern document(s) or information included:

11. An Information Disclosure Statement under 37 CFR 1.97 and 1.98.

12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.

13. A preliminary amendment.

14. An Application Data Sheet under 37 CFR 1.76.

15. A substitute specification.

16. A power of attorney and/or change of address letter.

17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825.

18. A second copy of the published International Application under 35 U.S.C. 154(d)(4).

19. A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

20. Other items or information: See Attachment I

has been previously submitted under 35 U.S.C. 154(d)(4).

have been communicated by the International Bureau.

have not been made and will not be made.

An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).

Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))

a. are attached hereto (required only if not communicated by the International Bureau).

have not been made; however, the time limit for making such amendments has NOT expired.

An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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# IAP20 Rec'd PCT/PTO 09 JUN 2006

PTO-1390 (Rev. 02-2005)

Approved for use through 3/31/2007. OMB 0651-0021

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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U.S. APPLICATI	U.S. APPLICATION NO. (if known, see 37 CFR 1.5) INTERNATIONAL APPLICATION APPL				ATTORNEY'S DOCKET NUMBER	
10/582418 PCT/IB2004/003959					2003CH011	
The following fees have been submitted					CALCULATIONS	PTO USE ONLY
21. X Basic national fee\$300					\$ 300.00	
22. X Examination fee  If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4)					\$ 200.00	
23. X Search fee Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority					400.00	
TOTAL OF 21, 22 and 23 =					\$ 900.00	
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium).  The fee is \$250 for each additional 50 sheets of paper or fraction thereof.						
Total Sheets	Extra Sheets	thereof (round	ch additional 50 or fraction up to a whole number)	RATE		
25 - 100 =	- 15 <sub>/50 =</sub>			× \$250	\$ 0.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(h)).					\$ 0.00	
CLAIMS	NUME	BER FILED	NUMBER EXTRA	RATE	\$	
Total claims		11 - 20 =	0	x \$ 50	\$ 0.00	
Independent clair	ns	1 -3=	0	× \$200	\$ 0.00	
MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$360					\$ 0.00	
TOTAL OF ABOVE CALCULATIONS =					\$ 900.00	
Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.					0.00	î .
SUBTOTAL =					\$ 900.00	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).					\$ 0.00	
TOTAL NATIONAL FEE =					\$ 900.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +					\$ 40.00	
TOTAL FEES ENCLOSED =					\$	940.00
					Amount to be refunded:	\$
	<del></del>				Amount to be charged:	\$
a. A check in the amount of \$ to cover the above fees is enclosed.						
b. $\times$ Please charge my Deposit Account No. $03-2060$ in the amount of $940.00$ to cover the above fees. A duplicate copy of this sheet is enclosed.						
c. X The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 03-2060 . A duplicate copy of this sheet is enclosed.						
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.						
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.						
SEND ALL CORRESPONDENCE TO:						
Tod A. Waldrop						
Clariant Corporation						1
Intellectual Property Law Department 10d A. Wald					Irop	
1 4000 Manroe Poad						
Charlotte, NC 28205 CUSTOMER NO. 25,255 56,260 REGISTRATION NUMBER						

### 10/582418

## Addendum Addendum 2006

#### Attachment 1

- · Express Mail Label No. ED 794123570 US;
- · Acknowledgement of Receipt of Documents Filed With the IB as RO (1 page)
- · Notification of the Recording of a Change (1 page)
- · Copy of International Search Report (3 pages)
- · Written Opinion of the International Search Authority (4 pages).

## AP20 Rec'd PCT/PTO 09 JUN 2006

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#### Express Mail Label Number ED 794123570 US

Date of Mailing: June 9, 2006

#### **CERTIFICATION UNDER 37 CFR 1.10**

I hereby certify that on the date indicated above this International application and the documents referred to as enclosed therein, of:

- CERTIFICATION UNDER 37 CFR 1.10 (Express Mail) (1 page)
- TRANSMITTAL LETTER TO THE DO/EO/US (3 pages) w/ duplicate (6 pages)
- Executed Assignment with Recordation Transmittal (2 pages)
- Acknowledgement of Receipt of Documents Filed With the IB as RO (1 page)
- Notification of the Recording of a Change (1 page)
- Copy of International Search Report (3 pages)
- Written Opinion of the International Search Authority (4 pages)
- Preliminary Amendment (9 pages)
- Copy of the English Translation of International Application (25 PAGES SPECIFICATION, claims & Abstract); (claims 8 in number)
- Executed Declaration (2 pages)
- Postage pre-paid Postcard Receipt

Inventor: Robert EGLI

For: **Disperse Dyes** 

> International Application No.: PCT/IB2004/003959 International Filing Date: **02 December 2004 Priority Filing Date: 10 December 2003**

is being deposited with the United States Postal Service as "Post Office to Addressee" Express Mail addressed to the Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, Mail Stop:, in accordance with 37 CFR 1.10.

Vicki L. Sgro